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PATENT 03485-P0002A WWW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	Jocelyn Tristram Gervais Wood
Serial No. 09/933,090	Filing Date: August 20, 2001
Title of Application:	Data Processing System For Initiating And Administering Financial Products
Confirmation No.	Group Art Unit: 2164
Examiner	

Assistant Commissioner for Patents Washington, DC 20231

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Information Disclosure Statement by Applicant GROUP 3600

Dear Sir:

As a means of complying with duty of disclosure set forth in 37 CFR §1.56, Applicant lists the following references (copies of the listed patents and papers enclosed).

U.S. Patent Documents					
Exam. Initials	Class/ Subclass.	Document No.	Date	Name	
7H	364/200	4,194,242	03/18/80	Robbins /	
1111	395/236	5,689,649	11/18/97	Altman et AUG 2	
M	395/238	5,742,775	04/21/98	King	
ALL	705/38	5,745,885	04/28/98	Mottola et al.	

Mailing Certificate: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Tradegnarks; Washington, DC 2023/.

August <u>26</u>, 2002

Lori J. Giuffrida

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U.S. Patent Documents						
Exam. Initials	Class/ Subclass.	Document No.	Date	Name		
1714	705/38	5,809,484	09/15/98	Mottola et al.		

Foreign Patent Documents							
Exam. Initials	Document No.	Date	Country				
HIL	DE 41 36 320	94/03/04	Germany				
744	WO 98/13779A1	97/09/27	PCT				
MI	WO 98/43187	98/10/01	PCT				

The listed patents pertain in a general way to the subject matter of the application, but are not necessarily considered to be analogous prior art.

Respectfully submitted,

August 2002

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